



## planadviser's top 100 retirement plan advisers

December 14, 2010

**For the past several years, we have drawn from the pool of nominations for PLANSPONSOR's Retirement Plan Adviser and Adviser Team of the Year a list of "most successful advisers."**

These are advisers whose practices stand out in terms of a series of quantitative measures. This year, we have refined that approach to compile a list of PLANADVISER's Top 100 Advisers and Adviser Teams.

In the pages that follow, we highlight the quantitative standouts, in terms of dollar value of qualified plan assets under advisement as well as number of plans, and we also draw attention to those who have a demonstrable presence, defined as having more than a tenth of their practice focused on 403(b) plans, defined benefit plans, nonqualified plans, or 457 plans. This year's Top 100 comprises 36 advisers and 64 adviser teams.

Just how successful are these Top 100 Advisers? Collectively, the individual advisers represent about \$17 billion in retirement plan assets, while the teams on the list collectively serve more than \$115 billion in plan assets.

Among the specific product segments, 19 advisers or adviser teams say that a tenth of their practice is focused on 403(b) plans, 41 have that kind of presence with defined benefit plans, 12 can make that claim with nonqualified programs, and a handful say the same about 457 plans.

Skilled retirement plan advisers have been indispensable resources to their plan sponsor clients. Size isn't everything, of course—but it is an objective measure of success, and one well worth acknowledging. —PA

### Methodology

The advisers listed here are taken from entries for the 2011 PLANSPONSOR Retirement Plan Adviser and Adviser Team of the Year awards. Nominations were solicited online from retirement plan advisers, their employers and/or broker/dealers, and plan sponsors, as well as from working partners of these advisers, including investment vendors, accountants and attorneys, and pension administrators—advisers who have attained the PLANSPONSOR Retirement Professional (PRP) designation receive an automatic nomination. To enter the awards process, nominees must complete a form providing various details of their practice, including the details displayed here. These lists are based solely on quantitative factors, while the PLANSPONSOR Retirement Plan Adviser and Adviser Team of the Year awards



consider both qualitative and quantitative factors, including factors not considered in determining the most successful lists.

\*Due to the differences in evaluation factors, finalists for the PLANSponsor Retirement Plan Adviser and Adviser Team of the Year awards are not necessarily included on the “Top 100” listing.

### **Teams with \$800M and More in AUA**

#### **More than \$10B**

##### **Fiduciary Investment Advisors**

Mark Wetzel, Michael Goss, Karen Paulson, Ryan Gardner, Christopher Kachmar, Anthony Tranghese, Christopher Rowlin, Jeffrey Capone

*Windsor, Connecticut*

##### **Institutional Investment Consulting**

Michael Kozemchak, Barry Stoeck, Paul Stephens, Tony Ciocca, Greg Cimmino

*Bloomfield Hills, Michigan*

##### **Plan Sponsor Advisors, LLC**

Jennifer Flodin, Donald Stone, Tess Malone, Rhonda Berg, Chris Murphy, Jane Belcher, Angelo Auriemma, Mark Pliska

*Chicago, Illinois*

#### **>\$4.5B to \$10B**

##### **401(k) Advisors**

Veronica Lee, Joel Shapiro, Michael McGuire, Michael Viljak, Austin Gwilliam, Susann Haas, Pamela Watson, Mindy Marburger

*Aliso Viejo, California*

##### **The Parks Consulting Group, Morgan Stanley Smith Barney Graystone Consulting**

Thomas Parks, Bruce Lanser, David Lownik, Bernadette Lanser

*Milwaukee, Wisconsin*

#### **>\$3B to \$4.5B**

##### **Francis Investment Counsel**

Michael Francis, Kelli Send, Joseph Topp, Cliff Duntzman, Ed McIlveen, Kevin Roloff, Jennifer Greene, Patty Dirksmeyer, Meredith Ehn, Tim Shirk, Art Harris

*Pewaukee, Wisconsin*

##### **Lockton DC Retirement Group**

*Washington, D.C.*

**Retirement Benefits Group**

Darrell Alford, Lisa Jungers, Larry Deatherage, Anthony Franchimone, Sean Ciemiewicz

*La Jolla, California*

**SageView Advisory Group, West Region**

Jon Upham, Randy Long, Kevin Villar, Matt Hammonds, Marek Pfeil, Jennifer Purisima, Tracy Thomas, Hector Palacios, Frank Ricchuiti, Robert Patton, David Shnapek, Ed Wagner, Mark Kordonsky

*Irvine, California*

**SageView Advisory Group, Northeast**

Robert Trethewey, Jack Evans, Rachel Zachary, Stephen Popper, Mark Forkey, Jeffrey Gratton

*Fulton, Maryland*

**Innovest Portfolio Solutions LLC**

Gordon Tewell, Peter Mustian

*Denver, Colorado*

**>\$2B to \$3B**

**The Brice Group, Merrill Lynch**

Brian Brice, Timothy Brice

*Bloomfield Hills, Michigan*

**Castner Josephs Group, LLC**

Gary Josephs, Michael Castner, Lloyd Engleman, Salvatore Danna, Shanna Powell

*Irvine, California*

**The Gsell Group, Merrill Lynch**

Bruce Gsell, Goran Bojovski

*Edison, New Jersey*

**Longfellow Benefits**

*Boston, Massachusetts*

**SageView Advisory, South Region**

Sean G. King, Tim D. Sykes, Douglas Webster, Ryan Manaker, Brien “Todd” Stewart,  
Larissa Whittle, John Majors, Nichole Labott, Jeff Petrone, Jamie Lynn Lyons

*Knoxville, Tennessee*

**>\$1.5B to \$2B**

**Moreton Retirement Partners**

Chad J. Larsen, Corey Whitehead, Kirk Welch, Paul Lamb, Cynthia Krulac, Melinda  
Dransfield

*Denver, Colorado*

**CAPTRUST**

Steve Wilt, Susan Clausen, Paul Stibich

*Akron, Ohio*

**StoneStreect Equity, LLC**

Barbara Delaney, Bob Goldstein, Heidi Sidley, Cathy O'Halloran, Kylee Hall

*Pearl River, New York*

**>\$1B to \$1.5B**

**The Beirne Wealth Management Group, Merrill Lynch Global Wealth  
Management**

John A. Beirne, Jr., James A. Betzig, Erik Scaranuzzo, John-Oliver Beirne

*New Haven, Connecticut*

**Cafaro Greenleaf**

Jamie Greenleaf, Dorann Cafaro, John Peters, Barbara Meyer

*Little Silver, New Jersey*

**F.D.G. Institutional Consulting Group, UBS Financial Services Inc.**

Phil Fiore, Steve DesRochers, Jeff Farrar, Dean Gaugler, Lou Gloria, Tom Gahan

*Stamford, Connecticut*

**Kass/Freeman Group, Merrill Lynch**

Jonathan Kass, Robert Freeman

*New York, New York*

**The Kelliher Group at MSSB**

Stephen Kelliher, John Corbett, Joseph McLaughlin, William Slimbaugh, Bruce  
Henderson, Robert Leibowitz

*Norwell, Massachusetts*

**The MHC Group at Graystone Consulting**

Matt McLaughlin, Al Hammond, Peter Ciovacco, Dan O'Shea

*Danvers, Massachusetts*

**PearlStreet Investment Management of Oppenheimer & Co. Inc.**

*Grand Rapids, Michigan*

**Pensionmark Retirement Group**

Troy Hammond, Mike Woods, Devyn Duex, Laura Battle, Mike Devlin, Craig Christo, Christine Powell, Michelle Lantz, Andre Kaluna, Sean O'Flaherty, Daren Alcantar, Krista Hauser, Deanna Bamford, Brooks Allen

*Santa Barbara, California*

**The Prince Group**

Doug Prince, Brea Dantin, Deana Harmon

*Indianapolis, Indiana*

**Sheridan Road Financial**

James O'Shaughnessy, Daniel Bryant, Charles Williams, Chris Karam, Michael Kerrigan, Jeremy Weith, Sean Gilmore, Daniel Lundquist, Steve Kellar, Tom Daney

*Northbrook, Illinois*

**\$800M to \$1B**

**Barney & Barney Retirement Services**

Bill Peartree, Rick Skelly, Rick Ferguson, Gary Gunning, Jenece Tagg, Peggy Aldape

*San Diego, California*

**BCG Terminal Funding Company**

Michael Devlin, Sean O'Flaherty

*Austin, Texas*

**Bukaty Companies Retirement Plan Services**

Vince Morris, Jason Hoffman, Thomas Wright

*Leawood, Kansas*

**The Kenwood Consulting Group Morgan Stanley Smith Barney**

Bill Talmage, Chris Vordemesche, Joel Handorf, Todd Washburn, Mike Kleinfelder, Kelly Kelp

**Cincinnati, Ohio**

**Oswald Financial, Inc.,**

David Kulchar

*Cleveland, Ohio*

**The Mahoney Group, Merrill Lynch**

Chris Mahoney, Kristen Koluch, Mark Marotta, Phil Murphy, Kevin Mahoney

*West Nyack, New York*

**The Retirement Group**

*Jericho, New York*

**Rogers Financial**

Kenneth Rogers, Jenifer Nesselrodt, Raymond Gay

*Harrisonburg, Virginia*

**Individuals with \$175M or more in AUA**

**>\$1B**

Joe Connell

Financial Concepts, Inc.

Plymouth, Minnesota

Domenic DiPiero, III

Newport Capital Group

Red Bank, New Jersey

Danford Meischen

Bank of America Merrill Lynch

Houston, Texas

John B. Mott

Morgan Stanley Smith Barney

Houston, Texas

Paul L. Powell

401k advisors

Dothan, Alabama

**>\$750M to \$1B**

Gary Handler

UBS Financial Services

Encino, California

Stace Hilbrant

401k Advisors LLC (NRP Member Firm)

Wilmette, Illinois

Philip Steele

Pension Architects

Malibu, California

**>\$550M to \$750M**

Paul D'Aiutolo

UBS

Rochester, New York

Gregg L. Fine

Gallagher Benefit Services

Boca Raton, Florida

Neil C. Netoskie

Compass Retirement Solutions

Houston, Texas

John W. Spach

401(k) & 403(b) Advisors, Los Angeles

Woodland Hills, California

**>\$275M to \$550M**

Stephen S. Brown

Merrill Lynch Private Banking & Investment Group

Pittsford, New York

Robert Hendrian

Merrill Lynch  
Farmington Hills, Michigan  
Eric D. Kaufman  
Lockton Investment Advisors  
Los Angeles, California  
Tony Madera  
Gallagher Retirement Services  
Clarks Summit, Pennsylvania  
James L. Worrell  
GPS Investment Advisors, LLC.  
Providence, Rhode Island

**\$175M to \$275M**

Dean J. Clune  
Gallagher Retirement Services  
Itasca, Illinois  
Jim Detterick  
Morgan Stanley Smith Barney  
New York, New York  
Mark Ray  
Praxis Consulting  
Sacramento, California  
Jim Spanarkel  
Bank of America Merrill Lynch  
Paramus, New Jersey  
Brian K. Speers  
Merrill Lynch  
Oak Brook, Illinois  
Andrew R. Wilshinsky  
Morgan Stanley Smith Barney

New York, New York

E. Ken Winslow

Morgan Stanley Smith Barney

Silverdale, Washington

Eric Zakarin

Morgan Stanley Smith Barney

Short Hills, New Jersey

### **Teams with 75 or more plans**

#### **More than 180**

401(k) Advisors

Veronica Lee, Joel Shapiro, Michael McGuire, Michael Viljak, Austin Gwilliam,  
Susann Haas, Pamela Watson, Mindy Marburger

Aliso Viejo, California

Oswald Financial, Inc.

David Kulchar

Cleveland, Ohio

Pensionmark Retirement Group

Troy Hammond, Mike Woods, Devyn Duex, Laura Battle, Mike Devlin, Craig Christo,  
Christine Powell, Michelle Lantz, Andre Kaluna, Sean O'Flaherty, Daren Alcantar,  
Krista Hauser, Deanna Bamford, Brooks Allen

Santa Barbara, California

Siegel, Martin & Associates

Merrill Lynch

Washington, D.C.

#### **141 to 180**

Barney & Barney Retirement Services

Bill Peartree, Rick Skelly, Rick Ferguson, Gary Gunning, Jenece Tagg, Peggy Aldape

San Diego, California

Bukaty Companies Retirement Plan Services

Vince Morris, Jason Hoffman, Thomas Wright

Leawood, Kansas

Fiduciary Investment Advisors

Mark Wetzel, Michael Goss,

Karen Paulson, Ryan Gardner,

Christopher Kachmar, Anthony Tranghese, Christopher Rowlin, Jeffrey Capone

Windsor, Connecticut

Henderson Brothers Retirement Plan Services

William Beale, Megan Warzinski, Peter Valotta, Brendon Costa, Jay Hunt, Max Cizauskas, Joe Johns

Pittsburgh, Pennsylvania

# **101 to 140**

American Economic Planning Group

Steven Kaye, Ed Reaven

Watchung, New Jersey

The Duvall Jackel Group

Merrill Lynch

Howard M. Duvall IV, Andrew Jackel  
*New York, New York*

Fiduciary Consulting Group @ PSA Financial

Jania Stout, Peter Godfrey, Chad Wilson, Michael Markovitz, Doug Tyson, Cindy Ebertz

Hunt Valley, Maryland

The Kelliher Group at MSSB

Stephen Kelliher, John Corbett, Joseph McLaughlin, William Slimbaugh, Bruce Henderson, Robert Leibowitz

Norwell, Massachusetts

The Myers Wolfe Myers Group Morgan Stanley Smith Barney

Noel J. Wolfe, Marshall Myers, Scott Myers, Suzette Erninger

Jenkintown, Pennsylvania

The O'Brien, Rossi & Anderson Group, Merrill Lynch

Robert O'Brien, Christopher Rossi, Mikael Anderson, Mark Haussermann, Seamus Carney

Chicago, Illinois

Sheridan Road Financial

James O'Shaughnessy, Daniel Bryant, Charles Williams, Chris Karam, Michael Kerrigan, Jeremy Weith, Sean Gilmore, Daniel Lundquist, Steve Kellar, Tom Daney

Northbrook, Illinois

## **81 to 100**

The Howell & Sharp Group at  
Merrill Lynch

Brett Howell, Craig Sharp, Jim Harding, Steve Jones, Joel Barrett, Mike Steinebach

Grand Rapids, Michigan

Longfellow Benefits

Boston, Massachusetts

Moreton Retirement Partners

Chad J. Larsen, Corey Whitehead,

Kirk Welch, Paul Lamb, Cynthia Krulac, Melinda Dransfield

Denver, Colorado

The Prince Group

Doug Prince, Brea Dantin, Deana Harmon

Indianapolis, Indiana

Retirement Benefits Group

Darrell Alford, Lisa Jungers, Larry Deatherage, Anthony Franchimone, Sean Ciemiewicz

La Jolla, California

Spectrum Investment Advisors, Inc.

James Marshall, Manuel Rosado, Jonathan Marshall, Cheryl Luedtke-Stoehr

Mequon, Wisconsin

## **75 to 80**

Ellsworth Group  
Morgan Stanley Smith Barney

Charles Snyder, Josh Dautovic, Kelly Kuennen, Bryan Weber, Tim Clepper

Hudson, Ohio

Heffernan Financial Services

John R. Prichard, Blake A. Thibault, John M. Clark

San Francisco, California

Mayflower Advisors LLC

Steven Dimitriou, John Wilcox,  
John Longo

Boston, Massachusetts

MKP Group, Merrill Lynch

Joseph M. Matis, Richard L. Kidwell, Joseph T. Matis, Brian Linder

Indianapolis, Indiana

SageView Advisory Group, West Region

Jon Upham, Randy Long, Kevin Villar, Matt Hammonds, Marek Pfeil, Jennifer Purisima, Tracy Thomas, Hector Palacios, Frank Ricchuiti, Robert Patton, David Shnapek, Ed Wagner, Mark Kordonsky

Irvine, California

StoneStreet Equity, LLC

Barbara Delaney, Bob Goldstein, Heidi Sidley, Cathy O'Halloran, Kylee Hall

Pearl River, New York

### **Individuals with 50 or more plans**

#### **More than 100**

Sean Deviney

Provenance Wealth Advisors, LLC

Fort Lauderdale, Florida

Gregg L. Fine

Gallagher Benefit Services

Boca Raton, Florida

#### **86 to 100**

Joe Connell

Financial Concepts, Inc

Plymouth, Minnesota

Paul D'Aiutolo

UBS

Rochester, New York

Robert Hendrian

Merrill Lynch

Farmington Hills, Michigan

Thom Shumusic

Retirement Planning Specialists

Wilmington, Delaware

John W. Spach

401(k) & 403(b) Advisors, Los Angeles

Woodland Hills, California

## **71 to 85**

Domenic DiPiero, III

Newport Capital Group

Red Bank, New Jersey

Danford Meischen

Bank of America Merrill Lynch

Houston, Texas

Philip Steele

Pension Architects

Malibu, California

## **50 To 70**

Thomas S. Anthony

McKinley Carter Wealth Services, Inc.

Wheeling, West Virginia

John Barry

JMB Wealth Management, Inc.

Torrance, California

Ryan Bryte

American Trust & Savings Bank

Dubuque, Iowa

Gary Handler

UBS Financial Services

Encino, California

Barnaby W. Horton

Merrill Lynch

Hartford, Connecticut

Neil C. Netoskie,

Compass Retirement Solutions

Houston, Texas

Andrew R. Wilshinsky

Morgan Stanley Smith Barney

New York, New York

Dean J. Clune

Gallagher Retirement Services

Itasca, Illinois

### **Specialty Focus**

#### **10% or more in 403(b) plans**

Thomas S. Anthony

McKinley Carter Wealth Services, Inc.

Wheeling, West Virginia

Barney & Barney Retirement Services

Bill Peartree, Rick Skelly, Rick Ferguson, Gary Gunning, Jenece Tagg, Peggy Aldape

San Diego, California

Cafaro Greenleaf

Jamie Greenleaf, Dorann Cafaro,  
John Peters, Barbara Meyer

Little Silver, New Jersey

Domenic DiPiero, III

Newport Capital Group

Red Bank, New Jersey

The Dubie Group

Morgan Stanley Smith Barney

Christopher Dubie, Julie Braun

South Burlington, Vermont

F.D.G. Institutional Consulting Group, UBS Financial Services Inc.

Phil Fiore, Steve DesRochers,

Jeff Farrar, Dean Gaugler, Lou Gloria, Tom Gahan

Stamford, Connecticut

The Hagwood Tomoda Group

Morgan Stanley Smith Barney

Wellesley, Massachusetts

Henderson Brothers Retirement Plan Services

William Beale, Megan Warzinski, Peter Valotta, Brendon Costa, Jay Hunt, Max  
Cizauskas, Joe Johns

Pittsburgh, Pennsylvania

Stace Hilbrant

401k Advisors LLC (NRP Member Firm)

Wilmette, Illinois

Tony Madera

Gallagher Retirement Services

Clarks Summit, Pennsylvania

The MHC Group at Graystone Consulting

Matt McLaughlin, Al Hammond, Peter Ciovacco, Dan O'Shea

Danvers, Massachusetts

Moreton Retirement Partners

Chad J. Larsen, Corey Whitehead, Kirk Welch, Paul Lamb, Cynthia Krulac, Melinda Dransfield

Denver, Colorado

Oswald Financial, Inc.

David Kulchar

Cleveland, Ohio

Plan Sponsor Advisors, LLC

Jennifer Flodin, Donald Stone, Tess Malone, Rhonda Berg, Chris Murphy, Jane Belcher, Angelo Auriemma, Mark Pliska

Chicago, Illinois

SageView Advisory Group, Northeast

Robert Trethewey, Jack Evans, Rachel Zachary, Stephen Popper, Mark Forkey, Jeffrey Gratton

Fulton, Maryland

SageView Advisory, South Region

Sean G. King, Tim D. Sykes, Douglas Webster, Ryan Manaker, Brien "Todd" Stewart, Larissa Whittle, John Majors, Nichole Labott, Jeff Petrone, Jamie Lynn Lyons

Knoxville, Tennessee

Sheridan Road Financial

James O'Shaughnessy, Daniel Bryant, Charles Williams, Chris Karam, Michael Kerrigan, Jeremy Weith, Sean Gilmore, Daniel Lundquist, Steve Kellar, Tom Daney

Northbrook, Illinois

Snook Housey Advisors

Kelley Snook, Dave Anderson

Troy, Michigan

John W. Spach

401(k) & 403(b) Advisors, Los Angeles

Woodland Hills, California

**10% or more in 457 plans**

Barney & Barney Retirement Services

Bill Peartree, Rick Skelly, Rick Ferguson, Gary Gunning, Jenece Tagg, Peggy Aldape

San Diego, California

Innovest Portfolio Solutions LLC

Gordon Tewell, Peter Mustian

Denver, Colorado

Moreton Retirement Partners

Chad J. Larsen, Corey Whitehead, Kirk Welch, Paul Lamb, Cynthia Krulac, Melinda Dransfield

Denver, Colorado

Rogers Financial

Kenneth Rogers, Jenifer Nesselrodt, Raymond Gay

Harrisonburg, Virginia

SageView Advisory, South Region

Sean G. King, Tim D. Sykes, Douglas Webster, Ryan Manaker, Brien "Todd" Stewart, Larissa Whittle, John Majors, Nichole Labott, Jeff Petrone, Jamie Lynn Lyons

Knoxville, Tennessee

**10% or more in nonqualified plans**

401(k) Advisors

Veronica Lee, Joel Shapiro, Michael McGuire, Michael Viljak, Austin Gwilliam, Susann Haas, Pamela Watson, Mindy Marburger

Aliso Viejo, California

John Barry

JMB Wealth Management, Inc.

Torrance, California

Catanella Group

Brian Catanella, Ken Catanella

Weehawken, New Jersey

Devenir Corporate Services

Eric Remjeske, Lori Gluth, Jon Robb

Minneapolis, Minnesota

The Ellovich Mendoza Group UBS Financial Services

David Ellovich, Greg Mendoza

Hartford, Connecticut

Stace Hilbrant

401k Advisors LLC (NRP Member Firm)

Wilmette, Illinois

Kass/Freemen Group, Merrill Lynch

Jonathan Kass, Robert Freeman

New York, New York

Danford Meischen

Bank of America Merrill Lynch

Houston, Texas

The Retirement Group

Morgan Stanley Smith Barney

Jericho, New York

Thom Shumusic

Retirement Planning Specialists

Wilmington, Delaware

CAPTRUST

Steve Wilt, Susan Clausen, Paul Stibich

Akron, Ohio

Francis J. Sweetin

Chadwick Financial Group

Florham Park, New Jersey

**10% or more in defined benefit plans**

401(k) Advisors

Veronica Lee, Joel Shapiro, Michael McGuire, Michael Viljak, Austin Gwilliam,  
Susann Haas, Pamela Watson, Mindy Marburger

Aliso Viejo, California

BCG Terminal Funding Company

Michael Devlin, Sean O'Flaherty

Austin, Texas

The Beirne Wealth Management Group, Merrill Lynch Global Wealth Management

John A. Beirne, Jr., James A. Betzig, Erik Scaranuzzo, John-Oliver Beirne

New Haven, Connecticut

The Brice Group, Merrill Lynch

Brian Brice, Timothy Brice

Bloomfield Hills, Michigan

Cafaro Greenleaf

Jamie Greenleaf, Dorann Cafaro, John Peters, Barbara Meyer

Little Silver, New Jersey

CAPTRUST

Steve Wilt, Susan Clausen, Paul Stibich

Akron, Ohio

Castner Josephs Group, LLC

Gary Josephs, Michael Castner, Lloyd Engleman, Salvatore Danna, Shanna Powell

Irvine, California

Sean Deviney

Provenance Wealth Advisors, LLC

Fort Lauderdale, Florida

Tom Eddy

UBS Financial Services, Inc.

Chattanooga, Tennessee

F.D.G. Institutional Consulting Group, UBS Financial Services Inc.

Phil Fiore, Steve DesRochers, Jeff Farrar, Dean Gaugler, Lou Gloria, Tom Gahan

Stamford, Connecticut

Fiduciary Consulting Group @ PSA Financial

Jania Stout, Peter Godfrey, Chad Wilson, Michael Markovitz, Doug Tyson, Cindy Ebertz

Hunt Valley, Maryland

Fiduciary Investment Advisors

Mark Wetzel, Michael Goss, Karen Paulson, Ryan Gardner, Christopher Kachmar, Anthony Tranghese, Christopher Rowlin, Jeffrey Capone

Windsor, Connecticut

Francis Investment Counsel

Michael Francis, Kelli Send, Joseph Topp, Cliff Dunteman, Ed McIlveen, Kevin Roloff, Jennifer Greene, Patty Dirksmeyer, Meredith Ehn, Tim Shirk, Art Harris

Pewaukee, Wisconsin

Stace Hilbrant

401k Advisors LLC (NRP Member Firm)

Wilmette, Illinois

The Howell & Sharp Group at Merrill Lynch

Brett Howell, Craig Sharp, Jim Harding, Steve Jones, Joel Barrett, Mike Steinebach, Merrill Lynch

Grand Rapids, Michigan

Institutional Investment Consulting

Michael Kozemchak, Barry Stoey, Paul Stephens, Tony Ciocca, Greg Cimmino

Bloomfield Hills, Michigan

Kass/Freemen Group, Merrill Lynch

Jonathan Kass, Robert Freeman

New York, New York

The KD Group, Merrill Lynch

Glen Diehm, Kevin Keith

Lancaster, Pennsylvania

Lockton DC Retirement Group

Washington, D.C.

Longfellow Benefits

Boston, Massachusetts

Luppino Eschenauer Group

Merrill Lynch

Anthony W. Luppino, Eric G. Eschenauer

New York, New York

The Mahoney Group, Merrill Lynch

Chris Mahoney, Kristen Koluch, Mark Marotta, Phil Murphy, Kevin Mahoney

West Nyack, New York

Thomas D. Ming

Tower Rock Advisors, Inc./ Risk Transfer Advisors

Bakersfield, California Benedict A. Mitchell, Jr.

Florida Benefit Specialists

Fort Lauderdale, Florida

Moreton Retirement Partners

Chad J. Larsen, Corey Whitehead, Kirk Welch, Paul Lamb, Cynthia Krulac, Melinda Dransfield

Denver, Colorado

The Parks Consulting Group Morgan Stanley Smith Barney Graystone Consulting

Thomas Parks, Bruce Lanser, David Lownik, Bernadette Lanser

Milwaukee, Wisconsin

Craig S. Pastolove

Morgan Stanley Smith Barney

New York, New York

PearlStreet Investment Management of Oppenheimer & Co. Inc.

Grand Rapids, Michigan

Pensionmark Retirement Group

Troy Hammond, Mike Woods, Devyn Duex, Laura Battle, Mike Devlin, Craig Christo, Christine Powell, Michelle Lantz, Andre Kaluna, Sean O'Flaherty, Daren Alcantar, Krista Hauser, Deanna Bamford, Brooks Allen

Santa Barbara, California

Paul L. Powell

401k Advisors

Dothan, Alabama

The Ratay Group

Morgan Stanley Smith Barney

Lisle, Illinois

The Rinehart Staadeker Sussli Retirement Consulting Group

Wells Fargo Advisors LLC

Ken Rinehart, Paul Staadeker, Mike Sussli

Cincinnati, Ohio

Schooley/Houck Group

Morgan Stanley Smith Barney

Richard Schooley, Craig Houck, Eric Garbade

Savannah, Georgia

Sheridan Road Financial

James O'Shaughnessy, Daniel Bryant, Charles Williams, Chris Karam, Michael Kerrigan, Jeremy Weith, Sean Gilmore, Daniel Lundquist, Steve Kellar, Tom Daney

Northbrook, Illinois

Snook Housey Advisors

Kelley Snook, Dave Anderson

Troy, Michigan

Jim Spanarkel

Bank of America Merrill Lynch

Paramus, New Jersey

Brian K. Speers

Merrill Lynch

Oak Brook, Illinois

StoneStreet Equity, LLC

Barbara Delaney, Bob Goldstein, Heidi Sidley, Cathy O'Halloran, Kylee Hall

Pearl River, New York

Gordon Tewell, Peter Mustian

Innovest Portfolio Solutions LLC

Denver, Colorado

The TRC Group at Morgan Stanley Smith Barney

Michael Roberts, Thomas Kokjohn, Christopher Lelli

San Diego, California

Peggy Whitmore

Whitmore Retirement Solutions (401k Advisors Member Firm)

Port Washington, New York



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